

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section 3  
H/3

re Application of:

Naoya Takao et al.

Serial No.: 09/826,640

Filed: April 4, 2001

For: TRANSMISSION/RECEPTION SYSTEM AND  
METHOD FOR DATA BROADCAST, AND  
TRANSMISSION APPARATUS FOR DATA  
BROADCAST

Examiner:

Group Art Unit: 2162

June 1, 2001

Irvine, California 92614

LETTER

Assistant Commissioner for Patents  
Customer Service Center, Initial Patent Examination Division  
Washington, DC 20231

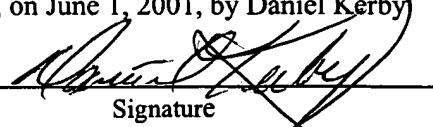
Dear Sir:

In response to the Notice to File Missing Parts dated May 23, 2001, enclosed is the oath for filing in the above-identified case together with our check for \$130.00. Also attached are the priority document Japan 2000-103035 and a copy of Notice to File Missing Parts of Nonprovisional Application.

Please charge any additional fees to our deposit account No. 16-2462. A copy of this letter is provided for that purpose.

If there are any questions with regard to this matter, please do not hesitate to contact the undersigned attorney.

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Customer Service Center, Initial Patent Examination Division Washington, DC 20231, on June 1, 2001, by Daniel Kerby



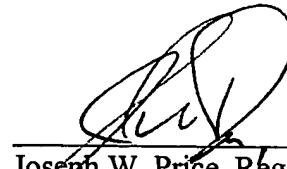
Signature

June 1, 2001

Date of Signature

Very truly yours,

PRICE AND GESS



Joseph W. Price, Reg. No. 25,124  
2100 S.E. Main St., Ste. 250  
Irvine, CA 92614  
949/261-8433

H3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,640	04/04/2001	Naoya Takao	NAK1-BO40

CONFIRMATION NO. 3940

## FORMALITIES LETTER



\*OC000000006109259\*

PRICE and GESS  
 Suite 250  
 2100 S.E. Main Street  
 Irvine, CA 92614

Date Mailed: 05/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

---

*A copy of this notice **MUST** be returned with the reply.*

*Thaine*  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/05/2001 SDENB0B1 00000049 09826640

01 FC:105

130.00 0P



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/826,640	04/04/2001	2152	920	NAK1-BO40	20	17	4

**CONFIRMATION NO. 3940**

**UPDATED FILING RECEIPT**



\*OC000000006322337\*

— Joseph W. Price  
PRICE, GESS & UBELL  
Ste. 250  
2100 S.E. Main St.  
Irvine, CA 92614

Date Mailed: 07/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Naoya Takao, Kadoma-shi, JAPAN;  
Toshiya Mori, Settsu-shi, JAPAN;  
Akira Ishikawa, Kashiba-shi, JAPAN;  
Kakuya Yamamoto, Neyagawa-shi, JAPAN;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

JAPAN 2000-103035 04/05/2000

If Required, Foreign Filing License Granted 05/23/2001

Projected Publication Date: 10/25/2001

Non-Publication Request: No

Early Publication Request: No

**Title**

Transmission/reception system and method for data broadcast, and transmission apparatus for data broadcast

Preliminary Class

709

---

Data entry by : MOHAMMED, ABDULBASIT

Team : OIPE

Date: 07/20/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231